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PTO/SB/05 (03-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		<b>Attorney</b> 320143.00102	
		<b>First Inventor</b> Peter CROOKS et al.	
		<b>Title</b> Analgesic Uses of Norketamine and Ketamine/Norketamine Prodrugs	
		<b>Express Mail</b>	

<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>	<b>Mail Stop Patent Application</b> <b>ADDRESS TO:</b>
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small></p> <p>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <b>67</b>]</span> <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul></p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets <input type="text"/></span></p> <p>5. <input type="checkbox"/> Oath or Declaration <span style="float: right;">[Total Pages <input type="text"/></span></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Unexecuted Declaration</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small></p> <p style="margin-left: 40px;">i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <small>(Appendix)</small></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small></p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statements verifying identity of above copies</p> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><b>ACCOMPANYING APPLICATIONS PARTS</b></div> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <span style="float: right;"><input type="checkbox"/> Power of Attorney</span> <small>(when there is an assignee)</small></p> <p>11. <input type="checkbox"/> English Translation Document <small>(if applicable)</small></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS/PTO-1449) <span style="float: right;"><input type="checkbox"/> Copies of IDS Citations</span></p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). <small>Applicant must attach form PTO/SB/35 or its equivalent.</small></p> <p>17. <input type="checkbox"/> Other: <span style="border: 1px solid black; display: inline-block; width: 150px; height: 20px; vertical-align: middle;"></span></p>
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18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

<b>10. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		<b>27160</b>		<input type="checkbox"/> Correspondence address below	
<b>Name</b> Katten Muchin Zavis Rosenman					
<b>Address</b> 525 West Monroe Street, Suite 1600					
<b>City</b>	Chicago	<b>State</b>	Illinois	<b>Zip Code</b>	60661-3693
<b>Country</b>	United States	<b>Telephone</b>	202 625-3621	<b>Fax</b>	312 902-1061

<b>Name (Print/Type)</b>	Robert R. Seabold, PH.D. Gilberto M. Villacorta, PH. D.	<b>Registration No. (Attorney/Agent)</b>	41,298/ 34,038
<b>Signature</b>		<b>Date</b>	November 18, 2003

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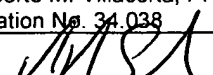
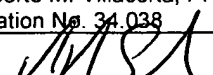
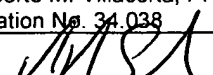
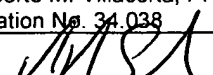
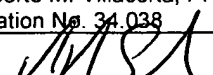
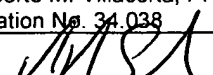


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<b>FEE TRANSMITTAL for FY 2003</b> <small>Patent fees are subject to annual revision.</small>				<b>Complete if Known</b>																																																																																																																																																																																																																																																																																																																																																											
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<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <b>50-1710</b> Deposit Account Name: <b>KATTEN MUCHIN ZAVIS ROSENMAN</b> The Commissioner is hereby authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any deficiency or Credit any overpayments <input checked="" type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.				<b>3. 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Registration No. 34,038</td> <td style="width: 15%;">Registration No. (Attorney/Agent)</td> <td style="width: 15%;">41,298</td> <td style="width: 10%;">Telephone</td> <td style="width: 10%;">202.625.3621</td> </tr> <tr> <td>Signature</td> <td colspan="3" style="text-align: center;"></td> <td>Date</td> <td>November 18, 2003</td> </tr> </table> </td> </tr> <tr> <td colspan="6" style="padding: 5px;"> <b>5. 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1051	130	2051	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																																																																																											
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																																																																																																																											
1053	130	1053	130	Non-English specification																																																																																																																																																																																																																																																																																																																																																											
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																																																																																																																											
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																																																																											
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																																																											
1251	110	2251	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																																																																											
1252	400	2252	200	Extension for reply within second month																																																																																																																																																																																																																																																																																																																																																											
1253	920	2253	460	Extension for reply within third month																																																																																																																																																																																																																																																																																																																																																											
1254	1,440	2254	720	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																																																																											
1255	1,960	2255	980	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																																																																											
1401	320	2401	160	Notice of Appeal																																																																																																																																																																																																																																																																																																																																																											
1402	320	2402	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																																																											
1403	280	2403	140	Request for oral hearing																																																																																																																																																																																																																																																																																																																																																											
1451	1,510	1451	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																																																											
1452	110	2452	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																																																											
1453	1,280	2453	640	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																																																											
1501	1,280	2501	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																																																											
1502	460	2502	230	Design issue fee																																																																																																																																																																																																																																																																																																																																																											
1503	620	2503	310	Plant issue fee																																																																																																																																																																																																																																																																																																																																																											
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																																																											
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																																																																																																																											
1806	180	1806	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																																																											
8021	40	8021	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																																																											
1809	740	2809	370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																																																																																																																											
1810	740	2810	370	For each additional invention to be examined (37CFR 1.129(b))																																																																																																																																																																																																																																																																																																																																																											
1801	740	2801	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																																																											
1802	900	1802	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																																																											
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